

## HOMEWORK 2, MATH246C, SPRING 2018

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**Exercise 37:** Using the above proposition, show that if  $X$  is a compact Riemann surface and  $P, Q$  are distinct points in  $X$ , then there is a meromorphic 1-form  $\omega_{(P)-(Q)}$  on  $X$  with poles only at  $P, Q$ , with a residue of 1 at  $P$  and a residue of  $-1$  at  $Q$ .

Using this, conclude the Riemann existence theorem: for any compact Riemann surface  $X$  and distinct points  $P, Q$  in  $X$ , there exists a meromorphic function on  $X$  that takes different values at  $P$  and  $Q$  and is in particular non-constant. (In other words, the meromorphic functions separate points.)

**Solution 37:** From Proposition 36, there exists a harmonic function  $f : X \setminus \{P, Q\} \rightarrow \mathbb{R}$  with the property that for coordinate charts  $(U_\alpha, \phi_\alpha), (U_\beta, \phi_\beta)$  such that  $P \in U_\alpha, Q \in U_\beta$  and  $\phi_\alpha(P) = \phi_\beta(Q) = 0$ , we have that

$$\begin{aligned}f \circ \phi_\alpha^{-1}(z) &= \log |z| + g(z) \\f \circ \phi_\beta^{-1}(z) &= -\log |z| + h(z)\end{aligned}$$

where  $g, h$  are bounded functions. Since  $g, h$  are bounded, they can be extended to be harmonic functions on the whole domain since  $\pm \log |z|$  is harmonic on the punctured plane [Ahlfors]. Now, for any coordinate chart  $(U_\gamma, \phi_\gamma)$  such that  $U_\gamma \not\ni P, Q$ , define

$$(\omega_{(P)-(Q)})_{\phi_\gamma} dz := (Df)_{\phi_\gamma}.$$

For  $U_\alpha \ni P$ , we can without loss of generality assume  $\phi_\alpha(P) = 0$ . Define

$$(\omega_{(P)-(Q)})_{\phi_\alpha} dz := (D \log |z|)_{\phi_\alpha} dz + (Dg)_{\phi_\alpha} dz$$

and for  $U_\beta \ni Q$  with  $\phi_\beta(Q) = 0$  define

$$(\omega_{(P)-(Q)})_{\phi_\beta} dz := (-D \log |z|)_{\phi_\beta} dz + (Dh)_{\phi_\beta} dz.$$

It is clear by Exercise 35 that the transition functions satisfy the correct relations for meromorphic 1-forms. Moreover, by a tedious computation

$$\begin{aligned}(D \log |z|)_{\phi_\alpha} dz &= \left( \frac{1}{z} \right)_{\phi_\alpha} dz \\(-D \log |z|)_{\phi_\beta} dz &= \left( -\frac{1}{z} \right)_{\phi_\beta} dz\end{aligned}$$

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Hence  $\omega_{(P)-(Q)}$  has a simple pole of residue 1 at  $P$  and a simple pole of residue  $-1$  at  $Q$ , and no other poles.

Now, let  $P, P', Q, Q' \in X$  be four distinct points. Then, the meromorphic function

$$F := \frac{\omega_{(P)-(Q')}}{\omega_{(P')-(Q)}}$$

Has the property that  $F(P) = \infty$ , and  $F(Q) = 0$ .  $\square$

**Exercise 41:** Let  $X$  be a compact Riemann surface of genus one, and let  $\infty$  be a point on  $X$ . Show that for any points  $P, Q$  on  $X$ , there is a unique point  $P+Q$  on  $X$  such that  $(P)+(Q)-(P+Q)-(\infty)$  is a principal divisor. Furthermore show that this defines an abelian group law on  $X$ . What is this group law in the case that  $X$  is an elliptic curve?

**Solution 41:** The space of holomorphic 1-forms has dimension  $g = 1$ . Hence, there exists a holomorphic 1-form  $\omega$  which is non-trivial. Since  $\omega$  has no poles, it has no zeros. Let  $P, Q \in X$  be distinct. Then, by Exercise 37, there exists a meromorphic 1-form  $\omega_{(P)-(Q)}$  with poles exactly at  $P$  and  $Q$ . Now, in a local coordinate chart  $(U_\alpha, \phi_\alpha)$  centered around  $\infty$ , we have

$$(\omega_{(P)-(Q)} - c\omega)_\alpha(0) = (\omega_{(P)-(Q)})_\alpha - c(\omega)_\alpha(0).$$

Hence, by picking a  $c \in \mathbb{C}$  correctly,  $\omega_{(P)-(Q)} - c\omega$  will have a zero at  $\infty$ . Because the zeros of a meromorphic 1-form are defined locally, this makes sense. Since  $\omega_{(P)-(Q)} - c\omega$  is a meromorphic 1-form, its degree is  $2g - 2 = 0$ . It has poles at exactly  $P$  and  $Q$  and a zero at  $\infty$ , so there exists precisely one other zero  $R \in X$  (which may be  $\infty$ ). Then, the quotient

$$f := \frac{\omega}{\omega_{(P)-(Q)} - c\omega}$$

is a meromorphic function on  $X$ . Because  $\omega$  has no zeros or poles,  $f$  has zeros precisely at  $P, Q$  and poles at  $R, \infty$ . Hence,

$$(f) = (P) + (Q) - (R) - (\infty)$$

and there exists an  $R$  with the required property. If  $P = Q$ , the above argument works with  $\omega_{2(P)}$  instead of  $\omega_{(P)-(Q)}$ . The existence of  $\omega_{2(P)}$  is guaranteed by Proposition 38.

To show it is unique, assume there are two point  $R, R' \in X$  such that

$$(f) = (P) + (Q) - (R) - (\infty)$$

$$(g) = (P) + (Q) - (R') - (\infty)$$

Then, the quotient  $f/g$  is a meromorphic function, and

$$\left(\frac{f}{g}\right) = (R') - (R)$$

If  $R \neq R'$ ,  $f/g$  is a meromorphic function on  $X$  with precisely 1 pole. By Exercise 42,  $f/g$  is an isomorphism between  $X$  and  $\mathbb{C}^*$ , which is of genus zero. By observing the proof of Exercise 42, this is not a circular argument, and indeed forms a contradiction since  $X$  is assumed to be of genus 1. Therefore  $R = R'$ , and we are justified in defining  $P + Q := R$ . Note that it is clear from the above construction that the order of the points  $P, Q$  does not change the point  $R$ , so the proposed abelian structure is commutative.

It remains to check that  $(X, +)$  is associative and has inverses. We first check it is associative. Let  $P, Q, R \in X$ . Then, by definition, there exists  $f, g, h, i \in M(X)$  such that

$$\begin{aligned} (f) &= (P) + (Q + P) - (P + (Q + R)) - (\infty) \\ (g) &= (P + Q) + (R) - ((P + Q) + R) - (\infty) \\ (h) &= (Q) + (R) - (Q + R) - (\infty) \\ (i) &= (P) + (Q) - (P + Q) - (\infty). \end{aligned}$$

By a short calculation,

$$\left(\frac{fh}{gi}\right) = ((P + Q) + R) - (P + (Q + R)),$$

and by the same argument given above, we have

$$((P + Q) + R) = (P + (Q + R)).$$

For the existence of inverses, let  $P \in X$ . Call the point  $\infty \in X$  the identity. We want to show that there exists a point  $Q \in X$  such that  $P + Q = \infty$ . Consider the meromorphic 1-form  $\omega_{2(\infty)}$ . Using the same method as in the proof of the existence of  $P + Q$ , there is a constant  $c \in \mathbb{C}$  such that

$$\Delta := \frac{\omega_{2(\infty)} - c\omega}{\omega}$$

has a double pole at  $\infty$ , no other poles, a zero at  $P$ , and one zero at some point  $P'$ . Hence,

$$(\Delta) = (P) + (P') - (\infty) - (\infty)$$

and  $P + P' = \infty$  by uniqueness. This makes  $(X, +)$  an abelian group, with  $\infty$  as the identity.

For the case when  $X$  is an elliptic curve, we can assume by the converse to Exercise 30 that  $X \simeq \mathbb{C}/\Lambda$ . Then  $\infty := 0 + \Lambda$  and the regular addition operation on  $\mathbb{C}$  furnishes the group operation on  $\mathbb{C}/\Lambda$ .

**Exercise 42:** Let  $X$  be a compact Riemann surface, and there exists a meromorphic function  $f$  on  $X$  with one simple pole and no other poles. Show that  $f$  is an isomorphism between  $X$  and the Riemann sphere. Conclude in particular that the Riemann sphere is the only genus zero compact Riemann surface (up to isomorphism, of course).

**Solution 42:** Assume  $f$  is a meromorphic function on  $X$  with one simple pole at  $P$  and no other poles. Given any point  $c \in \mathbb{C}$ , the function  $f - c$  also has a simple pole at  $P$  and no other poles. By Proposition 24, the degree of  $f$  is zero, so there must be precisely one point  $Q \neq P$  such that  $(f - c)(Q) = f(Q) - c = 0$ . Hence  $f : X \rightarrow \mathbb{C}^*$  is one-to-one and onto, and by the definition of meromorphic, it is holomorphic as a map between Riemann surfaces. Hence  $f$  is an isomorphism.

Now, let  $X$  be a compact Riemann surface of genus 0, and let  $P \in X$ . Then, any meromorphic 1-form on  $X$  has degree  $-2$ . By Proposition 38, there exist meromorphic 1-forms  $\omega_{n(P)}$  with a pole of order  $n \geq 2$  at  $P$ , and no other poles. Since  $\omega_{2(P)}$  is of degree  $-2$ , it has no zeros. Hence, the meromorphic function

$$f := \frac{\omega_{3(P)}}{\omega_{2(P)}}$$

has a pole of order  $3 - 2 = 1$  at  $P$ , and no other poles. Therefore,  $X$  is isomorphic to  $\mathbb{C}^*$  by what we have shown above.  $\square$

**Exercise 43:** Let  $X$  be a compact Riemann surface of genus  $g$ , and let  $D$  be a divisor of degree  $2g - 2$ . Show that  $\dim L(D) = g$  when  $D$  is a canonical divisor, and  $\dim L(D) = g - 1$  otherwise.

**Solution 43:** Let  $D \in \text{Div}(X)$  with  $\deg(D) = 2g - 2$ . Then, by the Riemann-Roch theorem,

$$(1) \quad \dim L(D) = g - 1 + \dim L(K - D)$$

for any canonical divisor  $K$ . Fix  $K$ . Since  $\deg(D) = \deg(K) = 2g - 2$  for any canonical divisor,  $\dim L(K - D) = 0$  or  $1$  by Exercise 25 (ii), with the latter case occurring if and only if  $K - D$  is principal. Now, I claim that  $K - D$  is principal if and only if  $D$  is a canonical divisor.

For one direction of the claim, assume that  $K - D$  is principal. Then there exists an  $f \in M(X)$  such that  $K - D = (f)$ . Let  $\omega$  be the meromorphic 1-form associated to  $K$ , and form the meromorphic 1-form

$$\frac{\omega}{f} =: \omega'$$

Since  $(\omega') = (\omega) - (f) = D$ , we have that  $D$  is a canonical divisor. Now, for the other direction of the claim, assume that  $D$  is a canonical divisor with associated meromorphic 1-form  $\omega'$ . Then

$$f := \frac{\omega}{\omega'}$$

is a meromorphic function with the property that  $(f) = (\omega) - (\omega') = K - D$ . Therefore,  $K - D$  is principal.

Now, assume that  $D$  is a canonical divisor. Then  $K - D$  is principal, and hence  $\dim L(K - D) = 1$ . Hence by (1)

$$\dim L(D) = g - 1 + \dim L(K - D) = g - 1 + 1 = g.$$

Likewise, assume that  $D$  is not a canonical divisor. Then  $K - D$  is not principal, and  $\dim L(K - D) = 0$ . Then by (1)

$$\dim L(D) = g - 1 + \dim L(K - D) = g - 1$$

which completes the exercise.  $\square$

**Exercise 44 (ii), Noether gap theorem:** Let  $X$  be a compact Riemann surface of genus  $g$ .

If  $P_1, P_2, \dots$  are a sequence of distinct points in  $X$ , show that there are precisely  $g$  positive integers  $n$  with the property that there does not exist a meromorphic function with a simple pole at  $P_n$ , at most a simple pole at  $P_1, \dots, P_{n-1}$ , and no other poles. Show in addition that all of these integers are less than or equal to  $2g - 1$ .

**Solution 44 (ii):** Define  $L_0 := L(0)$  and  $L_n := L((P_1) + \dots + (P_n))$ . Now, by the Riemann-Roch theorem,

$$\dim L_k = \dim L(K - \sum_{l=1}^k (P_l)) + k + 1 - g.$$

Then, since  $\deg K = 2g - 2$ , for  $k \geq 2g - 1$  we have

$$\deg(K - \sum_{l=1}^k (P_l)) = 2g - 2 - k \leq -1 < 0.$$

Hence by Corollary 16

$$\dim L((K - \sum_{l=1}^k (P_l))) = 0$$

for  $k \geq 2g - 1$ . Therefore, for  $k \geq 2g - 1$ ,

$$\dim L_k = k + 1 - g.$$

Consider the sequence

$$\dim L_0 = 1, \dim L_1, \dots, \dim L_{2g-1} = g, \dim L_{2g} = g + 1, \dots$$

By Lemma 19,  $\dim L_{n+1} - \dim L_n \leq 1$ , and so by a simple counting argument there exist exactly  $g$  numbers  $1 \leq n \leq 2g$  such that

$$\dim L_n = \dim L_{n+1} \quad \implies \quad L_n = L_{n+1}$$

Now, assume there exists an  $f \in M(X)$  with the property that  $\text{ord}_{P_{n+1}}(f) = -1$  and  $\text{ord}_{P_l}(f) \geq -1$  for each  $l = 1, \dots, n$ . Then,  $f \in L_n \setminus L_{n+1} = \emptyset$ , so  $f$  cannot exist.  $\square$

**References:** John and Akash for advice.